

Patent  
Attorney's Docket No. 032304-040

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

**UTILITY PATENT  
APPLICATION TRANSMITTAL LETTER**

**Box PATENT APPLICATION**  
Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

Enclosed for filing is the utility patent application of John F. Shanley for Expandable Medical Device With Locking Mechanism.

- ☐ Applicant(s) hereby request(s) that the above-captioned application **NOT BE PUBLISHED** under 35 U.S.C. § 122(b) and 37 C.F.R. § 1.211. The undersigned hereby certifies that the invention disclosed in this application has not and will not be the subject of an application filed in another country, or under a multilateral international agreement, that requires publication at eighteen months after filing.
- ☒ Applicant suggests Figure 3C for inclusion on the front page of the patent application publication and patent.
- Also enclosed are:
- ☒ 8 sheets of ☐ formal ☒ informal drawings;
- ☐ a claim for foreign priority under 35 U.S.C. §§ 119 and/or 365 is ☐ hereby made to \_\_\_\_\_ filed in \_\_\_\_\_ on \_\_\_\_;
- ☐ \_\_\_\_\_ in the declaration;
- ☐ a certified copy of the priority document;
- ☐ a General Authorization for Petitions for Extensions of Time and Payment of Fees;
- ☒ an Assignment document;
- ☐ an Information Disclosure Statement;
- ☐ a patent application data sheet; and
- ☐ Other: \_\_\_\_\_.
- ☒ An [X] executed ☐ unexecuted declaration of the inventors  
[X] also is enclosed ☐ will follow.
- ☒ Small entity status is hereby claimed



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(10/01)

C L A I M S					
	NO. OF CLAIMS		EXTRA CLAIMS	RATE	FEE
Basic Application Fee					\$740.00 (101)
Total Claims	43	MINUS 20 =	23	× \$18.00 (103) =	414.00
Independent Claims	6	MINUS 3 =	3	× \$84.00 (102) =	84.00
If multiple dependent claims are presented, add \$280.00 (104)					0.00
Total Application Fee					1238.00
If small entity status is claimed, subtract 50% of Total Application Fee					619.00
Add Assignment Recording Fee \$40.00 (581) if Assignment document is enclosed					40.00
<b>TOTAL APPLICATION FEE DUE</b>					<b>659.00</b>

- [ ] This application is being filed without a filing fee. Issuance of a Notice to File Missing Parts of Application is respectfully requested.
- [X] A check in the amount of \$ 659.00 is enclosed for the fee due.
- [X] The Commissioner is hereby authorized to charge any appropriate fees under 37 C.F.R. §§ 1.16, 1.17 and 1.21 that may be required by this paper, and to credit any overpayment, to Deposit Account No. 02-4800. This paper is submitted in duplicate.

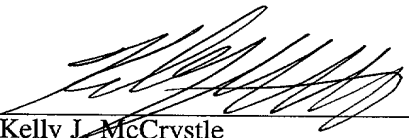
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Respectfully submitted,

BURNS, DOANE, SWECKER & MATHIS, L.L.P.

Date: January 25, 2002

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